

Finansinspektionen's Regulatory Code

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Regulations amending Finansinspektionen's regulations and general guidelines (FFFS 2014:5) regarding information security, IT operations and deposit systems;

FFFS 2021:23

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decided on 22 June 2021.

Finansinspektionen prescribes pursuant to Chapter 5, section 2, point 5 of the Banking and Financing Business Ordinance (2004:329) and Chapter 6, section 1, points 9–13 of the Securities Market Ordinance (2007:572) that Chapter 1, section 2 of Finansinspektionen's regulations and general guidelines (FFFS 2014:5) regarding information security, IT operations and deposit systems shall have the following wording.

Chapter 1

Section 2 These regulations apply to

1. banking companies,
2. savings banks,
3. members' banks,
4. credit market companies,
5. credit market associations,
6. investment firms as referred to in Chapter 1, section 2, first paragraph, points 7 c–f of the Special Supervision of Credit Institutions and Investment Firms Act (2014:968), and
7. undertakings with authorisation to conduct clearing operations in accordance with Chapter 19 of the Securities Market Act (2007:528).

The provisions set out in Chapters 1–3, in accordance with Chapter 3, section 4 of the Special Supervision of Credit Institutions and Investment Firms Act (2014:968), shall be applied at group or subgroup level.

The provisions set out in Chapter 4 do not apply to undertakings referred to in the first paragraph, point 7.

These regulations shall enter into force on 07 July 2021.

ERIK THEDÉEN

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