



F880 MONEY LAUNDERING REPORT

PERIOD LATEST DAY

INSTITUTE INSTITUTE NUMBER

ADMINISTRATOR ORG. NUMBER LEI CODE

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A. INFORMATION

ABOUT THE ACTIVITIES OF THE UNDERTAKING

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The undertakings beneficial owners and domicile

A1 Does the undertaking have beneficial owners with a legal tax domicile
abroad?, select

A2 How many (if any) of the undertakings beneficial owners have their legal tax domicile abroad?, amount

A3 In which countries besides Sweden (if any) do the undertakings beneficial owners have their legal tax
domicile?, multiSelect

A4 Does the undertaking have any cross-border operations in Sweden
(branch, agent)?, select

A5 In which country is the Parent undertaking domiciled?, select

The undertakings KPIs

A6 Specify the undertakings net sales as per the most recent annual accounts

A7 Specify the undertakings balance sheet total as per the most recent accounts

A8 For UCITS management companies and AIF managers, specify the value of the managed assets for all managed
funds in the past year

The undertakings employees

A9 Enter the total number of employees (in Sweden), amount

The undertakings product range:

A10 Deposits on account with deposit insurance, select

A11 Deposits on account without deposit insurance, select

A12 Loans with collateral, select

A13 Loans without collateral, select

A14 Money remittance, select

A15 Securities trading, select

A16 Pension solutions, select

A17 Insurance, select

A18 Cash handling, select

A19 debit card, select

A20 Pre-paid debit card, select	<input type="text"/>
A21 Swish, select	<input type="text"/>
A22 Private Banking, select	<input type="text"/>
A23 Currency exchange, select	<input type="text"/>
A24 Leasing, select	<input type="text"/>
A25 Payment services, select	<input type="text"/>
A26 Electronic money, select	<input type="text"/>
A27 Cryptocurrency, select	<input type="text"/>
A28 Trade finance products, select	<input type="text"/>

Transactions

A29 Does the company conduct crossborder transactions?, select	<input type="text"/>
A30 What is the total value of all cross-border transactions?	<input type="text"/>

The undertaking's operations in other countries

A31 Has the undertaking established operations abroad through subsidiaries, branches or agents which are subject to foreign AML legislation?, select	<input type="text"/>
A32 If such foreign operations exist, please specify in which countries, multiSelect	<input type="text"/>

Hawala

A33 Does the undertaking provide or conduct Hawala services?, select	<input type="text"/>
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B. THE UNDERTAKINGS

RISK ASSESSMENT AND PROCEDURES

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General risk assessment

B1 Has the undertaking conducted a general risk assessment?, select
B2 Most recent update (if applicable) to the general risk assessment, YYYY-MM
B3 Most recent previous update (if applicable) to the general risk assessment, YYYY-MM

Procedures and guidelines

The undertaking has procedures and guidelines for:

B4 Gathering customer due diligence, select
B5 Monitoring, select
B6 Reporting to the Swedish Police (Financial Intelligence Unit), select
B7 Processing of personal data, select
B8 Suitability assessment, select
B9 Education, select
B10 Protection of employees, select
B11 Maintenance of a register, select

High risk countries

B12 How many countries (if any) has the undertaking assessed to be a high risk in the general risk assessment?, amount
B13 Of these (if any), in how many does the undertaking have business relationships?, multiSelect
B14 Are high-risk third countries considered in the undertaking's general risk assessment?, select
B15 Which other countries are considered as high-risk in the undertaking's risk assessment?, multiSelect

Preparation of and updates to the general risk assessment

Which functions/persons in the undertaking are involved in the preparation and updating of the general risk assessment?

B16 Appointed officer for controlling and reporting obligations, select
B17 Management, select



.....
B18 Persons in each business area, select

.....
B19 Other function/person in the undertaking (specify), txt

Declined business relationships

B20 which customer category(-ies) (if any) has the undertaking declined business relationships because the risk associated with this category was too large (multiple answers possible)?, txt



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C. CUSTOMER DUE DILIGENCE VALUE IS INPUT AS WITHOUT DECIMALS IN SELECTED CURRENCY (SEK) IF NOT OTHERWISE STATED

Information about business relationships and customers

Established business relationships in Sweden

C1 Number of established business relationships in Sweden (rounded to nearest hundred), amount

Number of customers

with legal tax domicile in Sweden

C2 Natural persons, amount

C3 Legal persons, amount

with legal tax domicile in EU/EEA (not Sweden)

C4 Natural persons, amount

C5 Legal persons, amount

with legal tax domicile outside of EU/EEA

C6 Natural persons, amount

C7 Legal persons, amount

How many customers are considered to be high risk? Specify number

C8 Natural persons, amount

C9 Legal persons, amount

For how many established business relationships does the undertaking not have current and adequate information for customer due diligence?

C10 For natural persons, amount

C11 For legal persons, amount

Specify the number of the undertakings customers that have been identified as PEP, family members of the PEP or close associates of the PEP

with legal tax domicile in Sweden

C12 Natural persons, amount

C13 Beneficial owners for legal persons, amount

with legal tax domicile in EU/EEA (not Sweden)

C14 Natural persons, amount



.....
C15 Beneficial owners for legal persons, amount
.....

with legal tax domicile outside of EU/EEA

C16 Natural persons, amount

.....
C17 Beneficial owners for legal persons, amount
.....

Where appropriate for banks, specify information about correspondent banks with legal tax domicile in:

C18 Sweden, amount

.....
C19 EU/EEA (not Sweden), amount

.....
C20 Outside of the EU/EEA, amount
.....



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D. MONITORING AND REPORTING

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Transaction review system

D1 Does the undertaking utilise a transaction review system for assisting efforts to identify suspicious transactions or deviant activities?, select

(A transaction review system can refer to all types of surveillance and monitoring activities performed on customers' transactions, both with or without the assistance of technical solutions)

Which type of transaction review system is used (if any)?

D2 Automatic transaction review system, select

D3 Manual transaction review system, select

(A transaction review system can refer to all types of surveillance and monitoring activities performed on customers' transactions, both with or without the assistance of technical solutions)

Alarms and reports

D4 Does the undertaking document the reasoning for why an alarm for suspicious transactions or deviant activities is rejected or reported to the Financial Intelligence Unit?, select

D5 If the undertaking has an automatic monitoring system, how many alarms for suspicious transactions or deviant activities were generated in the past year?, amount

D6 How many money laundering reports did the undertaking submit to the Financial Intelligence Unit in the past year?, amount

D7 How many reports on terrorist financing did the undertaking submit to the FIU in the past year?, amount

How long did it take from when the alarm was generated until a report was submitted to the Financial Intelligence Unit (in the past year)?

D8 Shortest time (in days) until a report was submitted to the Financial Intelligence Unit, amount

D9 Longest time (in days) until a report was submitted to the Financial Intelligence Unit, amount

D10 The median (in days) of all cases where a report was submitted to the Financial Intelligence Unit, amount



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01/01/2021 - 31/12/2021	12/01/2022	
INSTITUTE	INSTITUTE NUMBER	
Testinstitut	12345	
ADMINISTRATOR	ORG. NUMBER	LEI CODE
mali	123456-7890	

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E. COMPLIANCE

OTHERWISE STATED

Do any of the following control functions exist?

E1 Specially appointed executive, select	<input type="text"/>
E2 Appointed officer for controlling and reporting obligations, select	<input type="text"/>
E3 Independent audit function, select	<input type="text"/>

Identified deficiencies

E4 Has any control function identified deficiencies in the undertakings compliance with the money laundering regulations during the past year?, select	<input type="text"/>
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If deficiencies were identified by the control function, were any measures taken with regard to them?

E5 Deficiencies identified by the control function are rectified, select	<input type="text"/>
E6 The deficiencies identified by the control function are not rectified, but the process to rectify them is currently underway, select	<input type="text"/>
E7 The deficiencies have been left unrectified, select	<input type="text"/>

EU regulations regarding sanctions

E8 Are new customers checked against the sanction lists before a business relationship is entered into?, select	<input type="text"/>
E9 Is a new beneficial owner checked against the sanction lists before a business relationship is entered into?, select	<input type="text"/>
E10 How often are existing customers checked against the sanction lists?, select	<input type="text"/>
E11 How often is a beneficial owner checked against the sanction lists?, select	<input type="text"/>
E12 Are cross-border transactions checked against the sanction lists?, select	<input type="text"/>
E13 Does the undertakings have assets or economic resources belonging to natural or legal persons, units or bodies that are identified on the sanction lists?, select	<input type="text"/>



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F. TRAINING

Training to identify suspected money laundering and financing of terrorism

F1 Does the undertaking offer training for employees, consultants and other persons involved in its activities in a similar capacity with the aim of identifying suspected money laundering or financing of terrorism?, select

F2 If the company does offer such training, has the content been updated in the past year?, select

F3 If the undertaking does offer such training, has the content been adapted to the needs of different personnel categories?, select

F4 If the undertaking offer such training, has the undertaking held any type of training for money laundering and the financing of terrorism in the past year?, select

What components are included in the training (if applicable)?

F5 Content of applicable regulations, select

F6 The undertakings general risk assessment, select

F7 The undertakings procedures, guidelines, etc., to facilitate the identification of suspected money laundering and financing of terrorism, select

F8 Typologies and methods for money laundering and the financing of terrorism, select