

# Finansinspektionen's Regulatory Code

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## Regulations amending Finansinspektionen's regulations and general guidelines (FFFS 2014:4) regarding the management of operational risks;

**FFFS 2024:10**

Published on  
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decided on 18 June 2024.

Finansinspektionen prescribes pursuant to Chapter 6, section 1, point 54 of the Securities Market Ordinance (2007:572) that Chapter 1, sections 2 and 3 and Chapter 5, sections 16 and 23 of Finansinspektionen's regulations and general guidelines (FFFS 2014:4) regarding the management of operational risks shall have the following wording.

### Chapter 1

**Section 2<sup>1</sup>** The regulations apply to the following undertakings:

1. banking companies,
2. savings banks,
3. members' banks,
4. credit market companies,
5. credit market associations, and
6. securities companies as referred to in Chapter 1, section 2, first paragraph, point 7c–g of the Credit Institutions and Securities Companies (Special Supervision) Act (2014:968).

The regulations, in accordance with Chapter 3, section 4 of the Credit Institutions and Securities Companies (Special Supervision) Act shall be applied at group or subgroup level.

**Section 3<sup>2</sup>** For securities companies, Chapter 5, sections 15–23 and Chapter 6, section 4, first paragraph, point 1 do not apply.

### Chapter 5

**Section 16<sup>3</sup>** An undertaking shall determine the longest period permitted for an interruption for each process pursuant to section 1.

**Section 23<sup>4</sup>** An undertaking shall determine the following in its internal rules for continuity management under section 15:

<sup>1</sup> The change entails in part that the third paragraph has been removed.

<sup>2</sup> The change entails that the second paragraph has been removed.

<sup>3</sup> The change entails that the second paragraph has been removed.

<sup>4</sup> The change entails in part that the third paragraph has been removed.

1. which types of tests it will perform under section 22, and
2. how often the tests are to be performed.

An undertaking shall test at least annually contingency plans, continuity plans and recovery plans for processes in accordance with section 1 and the IT systems that support these processes.

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These regulations shall enter into force on 01 July 2024.

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