



F880 MONEY LAUNDERING REPORT

PERIOD LATEST DAY
INSTITUTE INSTITUTE NUMBER
ADMINISTRATOR ORG. NUMBER LEI-KOD

E. COMPLIANCE

VALUE IS INPUT AS HELTAL VALBAR VALUTA (SEK) IF NOT OTHERWISE STATED

Do any of the following control functions exist?

E1 Specially appointed executive
, select

E2 Appointed officer for controlling and reporting obligations
, select

E3 Independent audit function
, select

Identified deficiencies

E4 Has any control function identified deficiencies in the undertakings compliance with the money laundering regulations during the past year?
, select

If deficiencies were identified by the control function, were any measures taken with regard to them?

E5 Deficiencies identified by the control function are rectified
, select

E6 The deficiencies identified by the control function are not rectified, but the process to rectify them is currently underway
, select

E7 The deficiencies have been left unrectified, select

EU regulations regarding sanctions

E8 Are new customers checked against the sanction lists before a business relationship is entered into?
, select

E9 Is a new beneficial owner checked against the sanction lists before a business relationship is entered into?
, select

E10 How often are existing customers checked against the sanction lists?
, select

E11 How often is a beneficial owner checked against the sanction lists?
, select

E12 Are cross-border transactions checked against the sanction lists?



, select

E13 Does the undertakings have assets or economic resources belonging to natural or legal persons, units or bodies that are identified on the sanction lists?

, select

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TEST REPORTING! NOT VALID AS REPORTING IN REAL!

PERIOD	LATEST DAY	
01/11/2016 - 31/10/2017	31/12/9999	
INSTITUTE	INSTITUTE NUMBER	
Testinstitut KM1	98201	
ADMINISTRATOR	ORG. NUMBER	LEI-KOD
Rapportör 1907 Finansinspektionen		-

F. TRAINING

VALUE IS INPUT AS HELTAL VALBAR VALUTA (SEK) IF NOT OTHERWISE STATED

Training to identify suspected money laundering and financing of terrorism

F1 Does the undertaking offer training for employees, consultants and other persons involved in its activities in a similar capacity with the aim of identifying suspected money laundering or financing of terrorism?

, select

F2 If the company does offer such training, has the content been updated in the past year?

, select

F3 If the undertaking does offer such training, has the content been adapted to the needs of different personnel categories?

, select

F4 If the undertaking offer such training, has the undertaking held any type of training for money laundering and the financing of terrorism in the past year?

, select

What components are included in the training (if applicable)?

F5 Content of applicable regulations

, select

F6 The undertakings general risk assessment

, select

F7 The undertakings procedures, guidelines, etc., to facilitate the identification of suspected money laundering and financing of terrorism

, select

F8 Typologies and methods for money laundering and the financing of terrorism

, select